



Atty. Dkt. No. 065691-0219

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Pierre Chambon et al.

Entitled: METHOD FOR THE STABLE INVERSION OF DNA SEQUENCE BY SITE-SPECIFIC RECOMBINATION AND DNA VECTORS AND TRANSGENIC CELLS THEREOF

Serial No.: 09/843,150

Filing Date: April 27, 2001

**TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION**

Commissioner for Patents  
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on May 31, 2001, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:

- ☒ Declaration and Power of Attorney (2 pages)
- ☒ Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- ☒ Associate Power of Attorney
- ☒ Statement To Support Filing and Submission in Accordance with 37 C.F.R. §§ 1.821-1.825 and Sequence Listing (Paper Copy and Computer Readable Form (CFR) copy)
- ☒ Preliminary Amendment
- ☒ Check in the amount of \$1,416.00 which consists of payment of \$130.00 surcharge fee; \$710.00 application filing fee; \$576.00 for 32 total claims over 20; (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

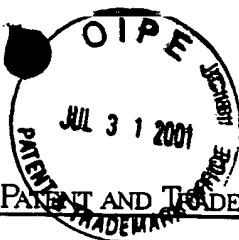
Respectfully submitted,

Date July 31, 2001

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/843,150	04/27/2001	Pierre Chambon	065691/0219

CONFIRMATION NO. 7311

## FORMALITIES LETTER



\*OC000000006134699\*

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Adjustment date: 09/26/2001 NPRASASO  
08/02/2001 EABUBAKI 00000006 09843150  
02 FC:103 -576.00 DP

09/26/2001 NPRASASO 00000009 09843150

01 FC:103

522.00 DP

Date Mailed: 05/31/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/02/2001 EABUBAKI 00000006 09843150

01 FC:101 710.00 DP  
02 FC:103 576.00 DP  
03 FC:105 130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Exp'n. Ref: 09/26/2001 NPRASASO 0013355800  
DRI:190741 Name/Number:09843150  
FC: 704 \$54.00 CR

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$576.
  - \$576 for 32 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1416.
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000; see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and,

where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE